

Sheet 1(A) of 3

SUBSTITUTE FORM PTO-1449 (MODIFIED)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. ELYN/10		SERIAL NO. 10/802,165							
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				APPLICANT James D. Gersten, et al.		Confirmation No. 4146							
(37 CFR 1.98(b)) (Use several sheets if necessary)				FILING DATE March 17, 2004		GROUP 2131							
U.S. PATENT DOCUMENTS													
EXAMINER INITIAL		PATENT NUMBER			ISSUE DATE	PATENTEE	FILING DATE IF APPROPRIATE						
FT	A.A	4	9	2	4	5	1	4	5/8/1990	Matyas et al.	380	24	8/24/1989
FT	A.B	5	6	7	7	9	5	5	10/14/1997	Doggett et al.	380	24	4/7/1995
FT	A.C	5	7	9	0	7	9	0	8/4/1998	Smith et al.	395	200.36	10/24/1996
FT	A.D	5	8	7	0	7	2	1	2/9/1999	Norris	705	38	10/15/1998
FT	A.E	5	8	7	8	4	0	5	3/2/1999	Grant et al.	705	39	9/25/1996
FT	A.F	5	9	4	0	8	1	1	8/17/1999	Norris	705	38	10/15/1996
FT	A.G	6	0	2	1	2	0	2	2/1/2000	Anderson et al.	380	25	12/19/1997
FT	A.H	6	0	4	4	3	4	9	3/28/2000	Tolopka et al.	705	1	6/19/1998
FT	A.I	6	0	5	5	5	1	4	4/25/2000	Wren	705	27	6/21/1996
FT	A.J	6	0	6	1	4	4	8	5/9/2000	Smith et al.	380	21	4/1/1997
FT	A.K	6	0	6	4	7	5	1	5/18/2000	Smithies et al.	383	115	7/8/1998
FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS													
		DOCUMENT NUMBER			PUBLICATION DATE		COUNTRY OR PATENT OFFICE		CLASS	SUBCLASS	TRANSLATION (YES/NO)		
	A.L												
	A.M												
	A.N												
	A.O												
	A.P												
	A.Q												
OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)													
FT	A.R	Tumbleweed Communications, Tumbleweed Secure Email for Customer Partner Communications, http://www.tumbleweed.com/en/industries/financial_services/secure_email_services.html ; printed 2/10/2004, (2 pages).											
FT	A.S	Tumbleweed Communications, Secure File Transfer for Payments and Messaging, http://www.tumbleweed.com/en/industries/financial_services/sft_financial_services.html ; printed 2/10/2004, (2 pages).											
FT	A.T	PETROGIANNIS, Tommy, Status Identifier for Identifying the Approval Status of an Electronic Document, U.S. Patent Application Publication No. US 2002/0019838 A1, Published February 14, 2002, Application Serial No. 09/899,731, filed July 5, 2001.											
EXAMINER						DATE CONSIDERED							
/Fatoumata Traore/						04/03/2007							
EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered. Include a copy of this form with next communication to applicant.													

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Sheet 2(B) of 3

SUBSTITUTE FORM PTO-1449 (MODIFIED)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. ELYN/10		SERIAL NO. 10/802,165							
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (37 CFR 1.98(b))				APPLICANT James D. Gersten, et al.		Confirmation No. 4148							
				FILING DATE March 17, 2004		GROUP 2131							
U.S. PATENT DOCUMENTS													
EXAMINER INITIAL		PATENT NUMBER			ISSUE DATE	PATENTEE	CLASS						
							SUBCLASS						
							FILING DATE IF APPROPRIATE						
FT	B.A	6	0	9	1	8	3	5	7/18/2000	Smithies et al.	382	115	2/17/1998
FT	B.B	6	1	0	5	0	0	7	8/15/2000	Norris	705	38	5/5/1999
FT	B.C	6	1	1	9	1	3	7	8/12/2000	Smith et al.	707	523	1/30/1997
FT	B.D	6	1	5	1	6	7	5	11/21/2000	Smith	713	153	7/23/1998
FT	B.E	6	1	8	5	6	8	3	2/8/2001	Ginter et al.	713	176	12/28/1998
FT	B.F	6	1	9	2	4	0	7	2/20/2001	Smith et al.	709	229	4/4/1997
FT	B.G	6	2	0	9	0	9	1	3/27/2001	Sudia et al.	713	175	9/29/1998
FT	B.H	6	2	0	9	0	9	5	3/27/2001	Anderson et al.	713	176	8/31/1999
FT	B.I	6	3	4	7	3	0	7	2/12/2002	Sandhu et al.	705	35	6/13/2000
FT	B.J	6	3	8	5	8	5	5	5/7/2002	Smith et al.	709	232	10/2/1997
FT	B.K	6	4	8	7	5	9	9	11/28/2002	Smith et al.	709	229	7/14/1999
FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS													
		DOCUMENT NUMBER			PUBLICATION DATE		COUNTRY OR PATENT OFFICE		CLASS	SUBCLASS	TRANSLATION (YES/NO)		
	B.L												
	B.M												
	B.N												
	B.O												
	B.P												
	B.Q												
OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)													
FT	B.R	PETROGIANNIS ET AL., Method and System for the Approval of an Electronic Document over a Network, U.S. Patent Application Publication No. US 2002/0078159 A1, Published June 20, 2002, Application Serial No. 10/022,005, filed December 14, 2001.											
FT	B.S	PETROGIANNIS ET AL., Web-Based Method and System for Applying a Legally Enforceable Signature on an Electronic Document, U.S. Patent Application Publication No. US 2002/0091651 A1, Published July 11, 2002, Application Serial No. 10/022,559, filed December 14, 2001.											
	B.T												
EXAMINER /Fatounata Traore/							DATE CONSIDERED 04/03/2007						
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Sheet 3(C) of 3

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U.S. PATENT DOCUMENTS													
EXAMINER INITIAL		PATENT NUMBER						ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
FT	C.A	6	6	0	9	2	0	0	8/19/2003	Anderson et al.	713	176	12/28/2000
FT	C.B	6	7	1	1	5	5	4	3/23/2004	Salzmann et al.	705	64	12/30/1999
	C.C												
	C.D												
	C.E												
	C.F												
	C.G												
	C.H												
	C.I												
	C.J												
	C.K												
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	C.L												
	C.M												
	C.N												
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	C.R												
	C.S												
	C.T												
EXAMINER /Fatoumata Traore/								DATE CONSIDERED 04/03/2007					
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